

Application for opening of financial instrument account for legal persons

Applicant

Type of business activity (company) Registration number

Registration authority Date of registration

LEI code (if any)

Address (legal and actual)

Telephone E-mail

Applicant's representative (name, surname) (personal identity number)

Basis of representation

Authorised Person (personal identity number)

if different from the Applicant's representative (name, surname) (personal identity number)

Basis of representation

Current account at the JSC "LPB Bank"

Do you want to receive the regular extract from the Account of financial instruments? Yes No

Voice password (not less than 4 symbols):

How you would like to receive the regular extract from the Account of financial instruments? in the Bank (indicate branch)

by post (indicate address) by e-mail (indicate address)

Frequency of the regular extract from the Account of financial instruments: 1x month 1x quarter other (please, specify)

Documents attached to the Application (please, indicate)

- Description of risks and representations of the Client (with certification of the Client about having acquainted with them);
- Customer questionnaire;
-

Application for receiving a trading platform

Please issue me access data to the following workstation LPB TWS/ Web Trader QUIK

Confirmation of the Applicant's representative / Authorised person

By signing this application (hereinafter - „Application”) I as an Applicant's representative / Authorised person confirm that:

— I have acquainted with the provisions of the Agreement on opening and servicing the account of financial instruments, Description of risks, the representations of the Client and the Tariffs of the JSC "LPB Bank" (hereinafter – "Bank"), I agree with them and undertake to comply with them;

— in transactions with the Bank the Applicant is the actual beneficiary, unless the Bank has been informed about another beneficiary;

— I am informed that the Agreement on opening and servicing the account of financial instruments is concluded if, within 5 (five) business days upon receipt of the present Application, the Bank opens and activates the Account of financial instruments;

— the information provided in the present Application is complete and true;

— I undertake to immediately notify the Bank in writing on any changes in relation to the information provided in this Application;

— I am informed that in accordance with General terms of Service, this Application is an integral part of the Agreement and the signing of this Application by Applicant's representative / Authorised person means the signing of the Agreement, unless it is intended to conclude a Special agreement for receiving the respective service of the Bank;

— I have acquainted with steps which Bank takes to ensure the protection of financial instruments or client funds, including summary details of any relevant investor compensation or deposit guarantee scheme, which applies to the Bank by virtue of its activities in a Member State, and I would like to receive this information;

on Bank's website (<http://www.lpb.lv/en/documents/>) in section Forms > Brokerage services > Information about the provider of investment services, or

in a durable medium;

— I am informed that the Bank processes (collects, stores, records, submits, transfers, transmits, etc.) the persons' data of Applicant's representative and/or Authorised person's and data of other persons submitted in the Application, as well as in accordance with Applicable legal acts requests and receives the above-mentioned data and other information from the third parties and processes it;

— I am informed that the Bank has the right to transfer the personal data of Applicant's representative to the third parties, if the Bank considers it necessary for the provision of services or debt recovery from the Applicant;

— personal data, other than my own, of persons in this Application are obtained lawfully and that I am entitled to disclose such personal data to the Bank for the purposes of implementation of the requested service(s). I confirm that such persons are aware of the processing of their personal data and have agreed to such processing;

— I am informed that the Bank has the right to use e-mail address, postal address and telephone number of Applicant's representative and/or Authorised person, indicated in this Application, for providing information about the services offered by the Bank, if I have agreed to it:

I Agree I Do Not Agree.

Signatures

APPLICANT / AUTHORISED PERSON

..... (name, surname) (signature) (transcript of signature) (date)

To be filled in by the representative of the Bank

Signed in my presence, the identity and powers of the Applicant's representative / Authorised person are verified.
Based on the present Application for the Applicant there have been reserved:

Account of financial instruments No.

Monetary account of financial instruments No.



.....
(structural unit, position)

.....
(name, surname representative of the Bank)

.....
(signature)

.....
(transcript of signature)

.....
(date)

Remarks of the Bank

Contract No.:

Contract is concluded:

.....
(unit, position)

.....
(name, surname representative of the Bank)

.....
(transcript of signature)

.....
(signature)

.....
(date)

Monetary account of financial instruments is activated Activation of accounts
is declined (Notes:))

.....
(unit, position)

.....
(name, surname representative of the Bank)

.....
(transcript of signature)

.....
(signature)

.....
(date)

