

Self-certification of the Client

Client's CIF A

Date Venue

Client
(name, surname / company name)

Personal code / Registration number

Date of birth (mandatory field for individuals, regardless of residence)

The Client's actual address:

Street, house, apartment

City Country Postal code Country code

For Individuals / Legal entities

If the Client has more than one tax residence countries, please fill in the information for all of the countries of residence.

	Tax residence country	Taxpayer number (if one is granted)	In accordance with normative acts of the tax residence country, taxpayer number is not granted to the Client (indicate with "X")
1.	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
2.	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
3.	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

If the Individual didn't specify a tax residence country, please provide an explanation.

For Legal entities

- The Client does not perform economic activity in the country of residence and does not pay taxes**
- Is the gross proportion of your company's passive income* at least 50% from the total annual gross income for the previous calendar year? Yes No
- Does at least 50% of your company's assets are being used to form passive income*? Yes No

If the answer to at least one question is "Yes", then fill in the following information on the Beneficial owners**:

	Name, surname	Date of birth	Tax residence country	Taxpayer number (if one is granted)
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Explanations

* Passive income is, for example, dividends, income from interest, income from rental of property (lease), royalties, income from transactions with financial instruments (including derivatives).
 ** Beneficial owner is a private entity: a) who owns or directly or indirectly controls at least 25 percent of the share capital or voting rights of a merchant or exercises other control over the merchant's operation; b) who, directly or indirectly, is entitled to the property or exercises a direct or an indirect control over at least 25 percent of a legal arrangement other than a merchant. In the case of a foundation, a beneficial owner shall be a person or a group of persons for whose benefit the foundation has been set up. In the case of political parties, societies and cooperative societies, a beneficial owner shall be the respective political party, society or cooperative society; c) for whose benefit or in whose interest a business relationship is established; d) for whose benefit or in whose interest a separate transaction is made without establishing a business relationship (within the meaning of the Law of the Republic of Latvia on the Prevention of Laundering the Proceeds from Criminal Activity (Money Laundering) and of Terrorist Financing).

Confirmations of the Client

I confirm that all information provided in this document is complete and true.

– I undertake immediately to inform the Bank in writing on any changes in the information provided above.

– I am informed that in accordance with the normative acts of the Republic of Latvia, the Bank is obligated to acquire information on the Client's tax residence and other related information to it, and that in cases prescribed for in the normative acts of the Republic of Latvia the Bank is obligated to provide information to the State revenue service and that the specified information is intended for the purpose of client research (including in-depth research) specified in the Law on the Prevention of Money Laundering and Terrorism Financing.

The Client / Client's representative <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Seal
<small>(position)</small>	<small>(name, surname)</small>	<small>(signature)</small>		
Client's representative <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
<small>(position)</small>	<small>(name, surname)</small>	<small>(signature)</small>		

To be completed by a representative of the Bank, who identifies the Client.

The document has been signed in my presence. I confirm the identity and signature / -s of the Client / Client's representative / -s.

(The Bank representative position, name, surname) (signature) (date)