

## Questionnaire: Information on the Ultimate Beneficial Owner (UBO)

### Client's information

Client CIF

Name

Registration number

Date

Place

### Information about the company's UBO

Name, surname

Personal identity code

Date of birth

### Declared address

Country

Postal code

Region/Municipality

Area/Parish

City/Village

Street

House

Flat

### Actual address

The actual address matches the declared address

Country

Postal code

Region/Municipality

Area/Parish

City/Village

Street

House

Flat

### Identity documents of the Company's UBO

Document type    Passport    ID card    Residence permit

Number

Date of issue

Valid until

Indefinite

Country

Issuing authority

Document type      Passport      ID card      Residence permit

Number

Date of issue

Valid until

Indefinite

Country

Issuing authority

Is the UBO resident of the Republic of Latvia?      Yes      No

Number of Company's shares owned by the UBO (specify %)

UBO is the representative of the Company

Basis/position of representative

Term of representation:      Until      Indefinite

## **State of UBO residence**

Please indicate all UBO tax residence countries

Country of tax residence

Tax payer number (if such is assigned)

Jurisdiction UBO has significant business links with

On what basis is a person considered an UBO:

Direct shareholder of the Company, owner of shares in equity capital

On the basis of property rights

Member of the Company's Board/Council or other governing body

On the basis of a management/delegation agreement

Other basis (please specify)

## **Politically exposed person**

Is UBO a Politically Exposed Person?

No      Yes (explain)

Politically exposed person — a person, who is currently, or has been in the past in the Republic of Latvia or other member state or third country, in a publicly significant position, including the highest official of a state authority, head of state administrative division (local government), head of a government, a minister (deputy of minister or deputy of minister's deputy, if the respective country has such a position), secretary of state or other high level official in a government or state administrative division (local government), member of a parliament or member of a similar legislative body, member of an authoritative structure (the board) of a political party, a judge of the constitutional court, supreme court or a different level court (member of a judicial institution), member of the council or member of the board in highest revision (audit) institution, member of the council or member of the board of a central bank, an ambassador, authorized clerk, highest officer of the armed forces, member of the council or member of the board of a state capital company, head (director, deputy director) of an international organization and member of the board or a person, who has an equivalent position in this organization.

Is UBO a family member of a Politically Exposed Person?

No      Yes (explain)

Person closely related with a Politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO closely related to a Politically Exposed Person?

No      Yes (explain)

Person closely related with a politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO US-related person?

No      Yes (explain)

Person, who is related with the USA, is a person, who meets one of the specified conditions: 1) Person has USA citizenship or permanent residence status (Green Card); 2) Person is a tax resident of the USA; 3) Person's place of birth is the USA; 4) Person has a phone number with a USA country code; 5) Personal residence address or correspondence address is in the USA (including USA P.O. box); 6) Power of attorney has been issued by a person who is related with the USA.



Sale of real estate Yes No

Specify the subject of the real estate sale

Sale of financial instruments Yes No

Specify the subject of the sale of financial instruments

Other  No  Yes(specify)

Monthly income total (EUR) up to 1200 1201-3000 3001-5000

If more than 5001 (specify)

Financial position together (EUR)

## Customer confirmation

I Certify that the information in this document is complete and true.


— I undertake to immediately inform the Bank in writing on any changes in the above information. I am informed that in accordance with General terms of service, this Application is an integral part of the Agreement and the signing of this Application means the signing of the Agreement, except the Bank Services, which can be received only by signing of Special agreement.

— I am informed that in accordance with the normative acts of the Republic of Latvia, the Bank is obligated to acquire information on the Client's tax residence and other related information to it, and that in cases prescribed for in the normative acts of the Republic of Latvia the Bank is obligated to provide information to the State revenue service and that the specified information is intended for the purpose of client research (including in-depth research) specified in the Law on the Prevention of Money Laundering and Terrorism Financing.

— I am informed that the Bank processes (collects, stores, records, submits, transfers, transmits, etc.) the Client's data and other persons' data submitted by the Client, as well as in accordance with Applicable legal acts requests and receives the above-mentioned data and other information from the third parties and processes it. Bank has the right to transfer the Client's personal data to the third parties, if the Bank considers it necessary for the provision of services or debt recovery from the Client. The Client confirms that, he / she has right to transfer data of other natural persons to the Bank, for that he / she has received all necessary consents.

— I undertake not to use accounts in the Bank and services of the Bank in transactions that may result in the violation of legislative acts of the Republic of Latvia, the European Union or the United Nations or decisions of international organisations, which determine persons or territories subjected to sanctions / limitations or limitations to the movement of certain goods.

— I confirm that I have read the Bank's General Terms of Service, the Bank's Tariffs and Personal Data Processing Policy, which are available on the [www.lpb.lv](http://www.lpb.lv) website and at the Bank's customer service points, I understand them and am aware that they will apply to the legal relationship between me and the Bank.

Client's representative .....	(position)	.....	(name,surname)	.....	(signature)	
Client's representative .....	(position)	.....	(name,surname)	.....	(signature)	

To be completed by a representative of the Bank, who identifies the Client.

The document has been signed in my presence. I confirm the identity and signature / -s of the Client / Client's representative / -s.

..... (The Bank representative position, name, surname) ..... (signature) ..... (date)

## Bank notes

<b>Legal department</b>	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	(remarks)	.....	(The Bank representative position, name, surname)	.....	(signature)	.....	.....	.....	(date)