

Questionnaire: Information on the Ultimate Beneficial Owner (UBO)

Client's information

Client CIF		
Name		
Registration number		
Date		Place

Information about the company's UBO

Name, surname	
Personal identity code	
Date of birth	

Declared address

Country	
Postal code	
Region/Municipality	
Area/Parish	
City/Village	
Street	
House	
Flat	

Actual address

The actual address matches the declares

address Country	
Postal code	
Region/Municipality	
Area/Parish	
City/Village	
Street	
House	
Flat	

Identity documents of the Company's UBO

Document type	Passport	ID card	Residence permit
Number			
Date of issue			
Valid until			
Indefinite			
Country			
Issuing authority			

Document type Passport ID card Residence permit

Number

Date of issue

Valid until

Indefinite

Country

Issuing authority

Is the UBO resident of the Republic of Latvia? Yes No

Number of Company's shares owned by the UBO (specify %)

UBO is the representative of the Company

Basis/position of representative

Term of representation: Until Indefinite

State of UBO residence

Please indicate all UBO tax residence countries

Country of tax residence

Tax payer number (if such is assigned)

Jurisdiction UBO has significant business links with

On what basis is a person considered an UBO:

Direct shareholder of the Company, owner of shares in equity capital

On the basis of property rights

Member of the Company's Board/Council or other governing body

On the basis of a management/delegation agreement

Other basis (please specify)

Politically exposed person

Is UBO a Politically Exposed Person?

No Yes (explain)

Politically exposed person — a person, who is currently, or has been in the past in the Republic of Latvia or other member state or third country, in a publicly significant position, including the highest official of a state authority, head of state administrative division (local government), head of a government, a minister (deputy of minister or deputy of minister's deputy, if the respective country has such a position), secretary of state or other high level official in a government or state administrative division (local government), member of a parliament or member of a similar legislative body, member of an authoritative structure (the board) of a political party, a judge of the constitutional court, supreme court or a different level court (member of a judicial institution), member of the council or member of the board in highest revision (audit) institution, member of the council or member of the board of a central bank, an ambassador, authorized clerk, highest officer of the armed forces, member of the council or member of the board of a state capital company, head (director, deputy director) of an international organization and member of the board or a person, who has an equivalent position in this organization.

Is UBO a family member of a Politically Exposed Person?

No Yes (explain)

Person closely related with a Politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO closely related to a Politically Exposed Person?

No Yes (explain)

Person closely related with a politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO US-related person?

No Yes (explain)

Person, who is related with the USA, is a person, who meets one of the specified conditions: 1) Person has USA citizenship or permanent residence status (Green Card); 2) Person is a tax resident of the USA; 3) Person's place of birth is the USA; 4) Person has a phone number with a USA country code; 5) Personal residence address or correspondence address is in the USA (including USA P.O. box); 6) Power of attorney has been issued by a person who is related with the USA.

Information to determine USA tax payer status

Is UBO born in the United States?

Is UBO a US citizen?

Is UBO a US taxpayer?

Does an UBO have a US residence permit (Green Card)?

Does the UBO have a phone number with a US country code?

Does the UBO have a US correspondence address (including the US P.O. Box)?

(specify address)

Other relations of the UBO with the United States (specify)

In case of one or more of the positive response, necessary fill out the form W-8BEN or W-9.

UBO source of income and financial position together

Salary / honorarium / remuneration

Yes No

Name of employer

Position

Years of experience

Less than a year

1-3 years

4-5 years

6-10 years

11-15 years

16-20 years

more than 20 years

Do you have a status of a person conducting economic activity?

Yes

No

Income from economic activity

Yes No

Name of employer

Position

Years of experience

Less than a year

1-3 years

4-5 years

6-10 years

11-15 years

16-20 years

more than 20 years

Scholarship / pension / benefit

Yes No

Housekeeper

Yes No

Inheritance

Yes No

Type of inheritance

testamentary

legal

contractual

Source of borrowed funds

Yes No

Borrowed funds

Interest / dividends

Yes No

Company name

Number of capital shares

Shares / equity

Yes No

Company name

Number of capital shares

