

Questionnaire: Information on the Ultimate Beneficial Owner (UBO)

Client's information

Client CIF		
Name		
Registration number		
Date		Place

Information about the company's UBO

Name, surname	
Personal identity code	
Date of birth	

Declared address

Country	
Postal code	
Region/Municipality	
Area/Parish	
City/Village	
Street	
House	
Flat	

Actual address

The actual address matches the declares

address Country	
Postal code	
Region/Municipality	
Area/Parish	
City/Village	
Street	
House	
Flat	

Identity documents of the Company's UBO

Document type	Passport	ID card	Residence permit
Number			
Date of issue			
Valid until			
Indefinite			
Country			
Issuing authority			

Document type Passport ID card Residence permit

Number

Date of issue

Valid until

Indefinite

Country

Issuing authority

Is the UBO resident of the Republic of Latvia? Yes No

Number of Company's shares owned by the UBO (specify %)

UBO is the representative of the Company

Basis/position of representative

Term of representation: Until Indefinite

State of UBO residence

Please indicate all UBO tax residence countries

Country of tax residence

Tax payer number (if such is assigned)

Jurisdiction UBO has significant business links with

On what basis is a person considered an UBO:

Direct shareholder of the Company, owner of shares in equity capital

On the basis of property rights

Member of the Company's Board/Council or other governing body

On the basis of a management/delegation agreement

Other basis (please specify)

Politically exposed person

Is UBO a Politically Exposed Person?

No Yes (explain)

Politically exposed person — a person, who is currently, or has been in the past in the Republic of Latvia or other member state or third country, in a publicly significant position, including the highest official of a state authority, head of state administrative division (local government), head of a government, a minister (deputy of minister or deputy of minister's deputy, if the respective country has such a position), secretary of state or other high level official in a government or state administrative division (local government), member of a parliament or member of a similar legislative body, member of an authoritative structure (the board) of a political party, a judge of the constitutional court, supreme court or a different level court (member of a judicial institution), member of the council or member of the board in highest revision (audit) institution, member of the council or member of the board of a central bank, an ambassador, authorized clerk, highest officer of the armed forces, member of the council or member of the board of a state capital company, head (director, deputy director) of an international organization and member of the board or a person, who has an equivalent position in this organization.

Is UBO a family member of a Politically Exposed Person?

No Yes (explain)

Person closely related with a Politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO closely related to a Politically Exposed Person?

No Yes (explain)

Person closely related with a politically exposed person – natural person, about who it is known, that the person has business relationship or other close relations with politically exposed person, or he/she is a shareholder or member in the same capital company with politically exposed persons, as well a natural person, who is the sole owner of a legal establishment, about which it is known, that it has been established in the interest of a politically exposed person.

Is the UBO US-related person?

No Yes (explain)

Person, who is related with the USA, is a person, who meets one of the specified conditions: 1) Person has USA citizenship or permanent residence status (Green Card); 2) Person is a tax resident of the USA; 3) Person's place of birth is the USA; 4) Person has a phone number with a USA country code; 5) Personal residence address or correspondence address is in the USA (including USA P.O. box); 6) Power of attorney has been issued by a person who is related with the USA.

Information to determine USA tax payer status

Is UBO born in the United States?

Is UBO a US citizen?

Is UBO a US taxpayer?

Does an UBO have a US residence permit (Green Card)?

Does the UBO have a phone number with a US country code?

Does the UBO have a US correspondence address (including the US P.O. Box)?

(specify address)

Other relations of the UBO with the United States (specify)

In case of one or more of the positive response, necessary fill out the form W-8BEN or W-9.

UBO source of income and financial position together

Salary / honorarium / remuneration	Yes	No			
Name of employer	<input type="text"/>				
Position	<input type="text"/>				
Years of experience	Less than a year	1-3 years	4-5 years	6-10 years	
	11-15 years	16-20 years	more than 20 years		

Do you have a status of a person conducting economic activity? Yes No

Income from economic activity	Yes	No			
Name of employer	<input type="text"/>				
Position	<input type="text"/>				
Years of experience	Less than a year	1-3 years	4-5 years	6-10 years	
	11-15 years	16-20 years	more than 20 years		

Scholarship / pension / benefit	Yes	No			
Housekeeper	Yes	No			
Inheritance	Yes	No			
Type of inheritance	testamentary	legal	contractual		

Source of borrowed funds	Yes	No			
Borrowed funds	<input type="text"/>				

Interest / dividends	Yes	No			
Company name	<input type="text"/>				
Number of capital shares	<input type="text"/>				

Shares / equity	Yes	No			
Company name	<input type="text"/>				
Number of capital shares	<input type="text"/>				

Sale of real estate Yes No
 Specify the subject of the real estate sale

Sale of financial instruments Yes No
 Specify the subject of the sale of financial instruments

Other No Yes(specify)

Monthly income total (EUR) up to 1200 1201-3000 3001-5000
 If more than 5001 (specify)

Financial position together (EUR)

Customer confirmation

I Certify that the information in this document is complete and true.

— I undertake to immediately inform the Bank in writing on any changes in the above information. I am informed that in accordance with General terms of service, this Application is an integral part of the Agreement and the signing of this Application means the signing of the Agreement, except the Bank Services, which can be received only by signing of Special agreement.

— I am informed that in accordance with the normative acts of the Republic of Latvia, the Bank is obligated to acquire information on the Client's tax residence and other related information to it, and that in cases prescribed for in the normative acts of the Republic of Latvia the Bank is obligated to provide information to the State revenue service and that the specified information is intended for the purpose of client research (including in-depth research) specified in the Law on the Prevention of Money Laundering and Terrorism Financing.

— I am informed that the Bank processes (collects, stores, records, submits, transfers, transmits, etc.) the Client's data and other persons' data submitted by the Client, as well as in accordance with Applicable legal acts requests and receives the above-mentioned data and other information from the third parties and processes it. Bank has the right to transfer the Client's personal data to the third parties, if the Bank considers it necessary for the provision of services or debt recovery from the Client. The Client confirms that, he / she has right to transfer data of other natural persons to the Bank, for that he / she has received all necessary consents.

— I undertake not to use accounts in the Bank and services of the Bank in transactions that may result in the violation of legislative acts of the Republic of Latvia, the European Union or the United Nations or decisions of international organizations, which determine persons or territories subjected to sanctions / limitations or limitations to the movement of certain goods.

— I confirm that I have read the Bank's General Terms of Service, the Bank's Tariffs and Personal Data Processing Policy, which are available on the www.magnetiqbank.com website and at the Bank's customer service points, I understand them and am aware that they will apply to the legal relationship between me and the Bank.

Client's representative				Seal
	(position)	(name,surname)	(signature)	
Client's representative				
	(position)	(name,surname)	(signature)	

To be completed by a representative of the Bank, who identifies the Client.
 The document has been signed in my presence. I confirm the identity and signature / -s of the Client / Client's representative / -s.

(The Bank representative position, name, surname)	(signature)	(date)

Bank notes

Legal department			
(remarks)	(The Bank representative position, name, surname)	(signature)	(date)