

Questionnaire (for legal entities)

Basic information

Client CIF	<input type="text"/>
Date	<input type="text"/>
Place	<input type="text"/>
Name	<input type="text"/>
Registration date	<input type="text"/>
Registration number	<input type="text"/>

Are any insolvency proceedings or liquidation processes initiated against the company? Yes No

Company's address/es

Legal address

Country	<input type="text"/>
Postal code	<input type="text"/>
Region/Municipality	<input type="text"/>
Area/Parish	<input type="text"/>
City/Village	<input type="text"/>
Street	<input type="text"/>
House	<input type="text"/>
Flat / Office	<input type="text"/>

Actual business address (es) of the company

1. the actual business address coincides with the legal one

Country	<input type="text"/>
Postal code	<input type="text"/>
Region/Municipality	<input type="text"/>
Area/Parish	<input type="text"/>
City/Village	<input type="text"/>
Street	<input type="text"/>
House	<input type="text"/>
Flat / Office	<input type="text"/>

2. the actual business address coincides with the legal one

Country	<input type="text"/>
Postal code	<input type="text"/>
Region/Municipality	<input type="text"/>
Area/Parish	<input type="text"/>
City/Village	<input type="text"/>
Street	<input type="text"/>
House	<input type="text"/>
Flat / Office	<input type="text"/>

Correspondence address

Correspondence address coincides with the legal one

Correspondence address coincides with the 1st actual business address

Correspondence address coincides with the 2nd actual business address

Country	<input type="text"/>
Postal code	<input type="text"/>
Region/Municipality	<input type="text"/>
Area/Parish	<input type="text"/>
City/Village	<input type="text"/>
Street	<input type="text"/>
House	<input type="text"/>
Flat/ Office	<input type="text"/>

Contact information

Phone	<input type="text"/>
Phone	<input type="text"/>
E-mail	<input type="text"/>
E-mail	<input type="text"/>
Skype	<input type="text"/>
www	<input type="text"/>

Country of tax residence

Please indicate country of tax residence

In accordance with normative acts of the tax residence country, taxpayer number is granted to the Client Yes No

Tax payer number

Approval of conformity FATCA status

Is the company a Financial Institution within the meaning of the US FATCA? * Yes No

Conformity FATCA status of the financial institution

FATCA Global Intermediary Identification Number (GIIN)

Reasons why a financial institution does not have a GIIN

* The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.(a) The term "Custodial Institution" means any Entity that holds, as a substantial portion of its business, financial assets for the account of others.(b) The term "Depository Institution" means any Entity that accepts deposits in the ordinary course of a banking or similar business.(c) The term "Investment Entity" means any Entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:(d) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;(dd) individual and collective portfolio management; or(ddd) otherwise investing, administering, or managing funds or money on behalf of other persons. This subparagraph l(j) shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations (FATF).(i) The term "Specified Insurance Company" means any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract."

Information for determining US tax payer status

Are You person related to a USA? No Yes (explain)

Is the legal entity's place of registration in the US? (specify)

Is the legal entity a tax resident of the US?

Is the legal entity's residence address or correspondence address in the US (including US P.O. box)? (specify address)

Is the Beneficial owner / -s of legal entity a US citizen / -s or resident / -s for tax purposes? (specify)

Other relation with the US (explain)

In case of one or more of the positive response, necessary fill out the form W-8BEN-E or W-9.

Company status

Does the company have the status of a public benefit organization?	Yes	No
Does the company issue or is it entitled to issue bearer shares (equity securities)?	Yes	No
Is the gross proportion of your company's passive income* at least 50% from the total annual gross income for the previous calendar year?	Yes	No
Does at least 50% of your company's assets are being used to form passive income*?	Yes	No

* Passive income is, for example, dividends, income from interest, income from rental of property (lease), royalties, income from transactions with financial instruments (including derivatives).

Representative (s) of the company

1. representative

Name, surname

Personal identity code

Date of birth

No changes

Information about previously declared representatives has changed (please fill out the form)

Delete previously declared representative

Add a new representative (please fill out the form)

2. representative

Name, surname

Personal identity code

Date of birth

No changes

Information about previously declared representatives has changed (please fill out the form)

Delete previously declared representative

Add a new representative (please fill out the form)

3. representative

Name, surname

Personal identity code

Date of birth

No changes

Information about previously declared representatives has changed (please fill out the form)

Delete previously declared representative

Add a new representative (please fill out the form)

4. representative

Name, surname

Personal identity code

Date of birth

No changes

Information about previously declared representatives has changed (please fill out the form)

Delete previously declared representative

Add a new representative (please fill out the form)

Ultimate Beneficial Owner (UBO)

1. UBO

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared UBO has changed (please fill out the form)

Delete previously declared UBO

Add new UBO (please fill out the form)

2. UBO

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared UBO has changed (please fill out the form)

Delete previously declared UBO

Add new UBO (please fill out the form)

3. UBO

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared UBO has changed (please fill out the form)

Delete previously declared UBO

Add new UBO (please fill out the form)

4. UBO

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared UBO has changed (please fill out the form)

Delete previously declared UBO

Add new UBO (please fill out the form)

5. UBO

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared UBO has changed (please fill out the form)

Delete previously declared UBO

Add new UBO (please fill out the form)

Owner (-s) of company shares not declared as UBO

Owner of the Company's capital shares is a private individual (fill the form "Questionnaire: A shareholder in a company who is not declared as an UBO (for private person)")

1. Owner of the Company's capital shares

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed (please fill out the form)

Delete previously declared owner

Add a new owner (please fill out the form)

2. Owner of the Company's capital shares

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed (please fill out the form)

Delete previously declared owner

Add a new owner (please fill out the form)

3. Owner of the Company's capital shares

Name, surname

Personal identity code

Date of birth

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed (please fill out the form)

Delete previously declared owner

Add a new owner (please fill out the form)

Owner of the Company's capital shares is a legal entity

1. Owner of the Company's capital shares

Name of the Company's owner

Registration number of the Company's owner

Registration date of the Company's owner

Country of registration of the Company's owner

Country of actual economic activity of the Company's owner

Jurisdiction with which the owner of the Company has significant business links (relations)

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed

Delete previously declared owner

Add a new owner

2. Owner of the Company's capital shares

Name of the Company's owner

Registration number of the Company's owner

Registration date of the Company's owner

Country of registration of the Company's owner

Country of actual economic activity of the Company's owner

Jurisdiction with which the owner of the Company has significant business links (relations)

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed

Delete previously declared owner

Add a new owner

3. Owner of the Company's capital shares

Name of the Company's owner

Registration number of the Company's owner

Registration date of the Company's owner

Country of registration of the Company's owner

Country of actual economic activity of the Company's owner

Jurisdiction with which the owner of the Company has significant business links (relations)

Number of Company's shares owned by the owner, %

No changes

Information about previously declared owner has changed

Delete previously declared owner

Add a new owner

Information on economic activity

Is the Company's economic activity related to the Republic of Latvia? Yes No

Indicate the necessity (purpose) for maintaining an account in the Republic of Latvia, if there is no connection with the Republic of Latvia

Reason for opening an account in AS Magnetiq Bank

Basic countries where the Company conducts its

business Additional information

Type/sector of economic activity:

Production

Construction

Agriculture, forestry and fisheries

Military goods or services

Public administration and defence

Provision of services, including:

- Customs services
- Logistics services
- Tourism/catering services
- Marketing/advertising services
- Legal services (provider of legal community's services)
- Lawyer
- Outsourced accounting services
- Consulting services
- Gambling organisation
- Health or pharmaceutical services
- Business and management consultancy activities
- Waste management
- Real estate brokerage
- Parking service providers (except for PI)
- Self-service vending machines
- Self-service laundries

Trade, including:

- Trade in precious metals, precious stones or jewelry
- Works of art or antiques
- Trade in arms and ammunition
- Trade in exclusive goods
- Trade services for small and medium-sized enterprises (beverages, tobacco trade)

Mining, including:

- Precious metals, precious stones
- Mining of gold and other underground resources
- Oil or gas

Information technologies, telecommunications, computer services

Online services (e-commerce), including:

- Ticket sales on the internet
- Internet sales of goods and services
- Internet sales of content meant for adults (incl. dating sites)
- Organizing gambling on the internet

Financial services, including:

- Insurance or reinsurance
- Insurance or reinsurance brokerage
- Payment institution
- Electronic money institutions

Credit institution

Cash logistics services

Financial institutions attracting finances and money lenders (pawnshops)

Leasing, factoring unions, consumer creditors

Investment brokerage company (incl. Forex)

Investment management company

State pension funds

Private pension funds

Private and public pension investment plans

Alternative investment funds

Alternative investment fund managers

Credit unions

Crowdfunding service provider

Credit claims trading on web platforms

Financial innovation service provider

Provision of money services

Currency exchange, currency brokerage services

Investments and activities in the securities market

Other (specify)

Types of business according to NACE2 classification:

Additional information on economic activities *

** - please fill in the points below if the sector "Gambling organization" or "Financial services" (or one of the sub-sectors) is selected*

Describe the Company's clients

Private individuals, % of total number of clients

Legal entities, % of total number of clients

LR residents, % of total number of clients

LR non-residents, % of total number of clients

Countries of origin of the Company's clients

Countries where the Company's clients conduct their business/personal activities

Information on measures taken to prevent money laundering, terrorist financing and proliferation financing

Company identifies its clients, researches, analyzes the origin of funds and keeps documents related to customer research (describe)

Yes

No

Companies performs the client identification remotely Yes No

The Company has a unit or designated persons responsible for anti-money laundering, anti-terrorist and anti-proliferation financing measures Yes No

Indicate the structure

Name and surname of the responsible person

Contact information

Indicate the binding regulatory enactments that regulate money laundering and terrorist financing prevention activities of the company:

Information on auditors *

** - please fill in the points below if the sector "Gambling organization" or "Financial services" (or one of the sub-sectors) is selected*

Name

Address

Phone

Website address

Is it possible to use virtual currency (including cryptocurrency) for settlements within the business of a Company? Yes No

** - Virtual currencies are meant to define not only cryptocurrencies such as Bitcoin or Ethereum, but also a wide range of other digital means of exchange, utilize an innovative new technology that enables digital transactions and the delivery of financial products and services in online networks, environments and marketplaces.*

Licenses or special permits

Does your economic activity require a license or special permit? Yes No

In the country of registration Yes No

In the country of operation Yes No

Is the license or special permit obtained? Yes No

License or special permit No.

Licensing or special permit authority (name, address, website address of the authority)

Date of issue

Term of validity

Indefinite

Explain, in case the license is not obtained

Information on financial indicators of economic activity

Duration of the business activities (in years)

Financial indicators:

Last year's turnover (EUR)

Amount of share capital (EUR)

Number of employees in the company (including the Company's representative (s))

1-5 6-20 21-50

51-100 more than 100 (specify)

Corporate group or association

Is the company part of a corporate group or an association? Yes No

Describe the structure of group name's structure
operating scheme
location
website address

Specify the role of the Client in the corporate group:

Specify group companies that are clients of AS Magnetiq Bank

The Company's main partners

(fill the form "Questionnaire: Business Partner" for those partners who have a connection with at least one of the following countries / territories: Russian Federation, Belarus, Ukraine (Donetsk/Luhansk/Crimea territories), Afghanistan, Central African Republic, South Sudan, Iraq, Iran, Yemen, Republic of the Congo, Democratic People's Republic of Korea, Cuba, China, Lebanon, Liberia, Libya, Myanmar (Burma), Syria, Somalia, Sudan, Venezuela, Zimbabwe).

1. Name of the partner

For incoming payments For outgoing payments

Location (country of registration)

Registration number

Economic activity sector

Country of actual economic activity

Website address

2. Name of the partner

For incoming payments For outgoing payments

Location (country of registration)

Registration number

Economic activity sector

Country of actual economic activity

Website address

3. Name of the partner

For incoming payments For outgoing payments

Location (country of registration)

Registration number

Economic activity sector

Country of actual economic activity

Website address

4. Name of the partner

For incoming payments

For outgoing payments

Location (country of registration)

Registration number

Economic activity sector

Country of actual economic activity

Website address

5. Name of the partner

For incoming payments

For outgoing payments

Location (country of registration)

Registration number

Economic activity sector

Country of actual economic activity

Website address

Additional information

Information about sanctions requirements

The company has created a structural unit or appointed a person responsible for compliance with sanctions requirements

Yes No

Indicate the structure

Name and surname of the responsible person

Contact information

Does the company check partners/goods/services against lists of sanctions/restrictions before delivering goods/services and making payments?

Yes No Partly

Specify

Does the company regularly check partners/intermediaries/clients against lists of sanctions/restrictions?

Yes No Partly

Specify

Please indicate which sanctions the company is complying with

U.N. Security Council European Union OFAC Republic of Latvia

Others (indicate)

Information about the Company's financial resources**Sources of financial resources**

Income from economic activity

Income from participation in other businesses

Borrowings

Investments of business owners

Others (specify)

The Company has additional income/sources for increasing working capital(specify)?

Yes No

Describe

Planned transactions in the Account(s)

Settlement services

Payment cards (planned number of cards)

Term deposits

Lending

E-commerce

Documentary operations (letters of credit, etc.)

Escrow account opening and servicing

Individual safes

Transactions in financial instruments (shares, bonds, etc.)

POS terminals

Other Bank services (specify)

Information about planned payments

Incoming payments:

Income from buyers or recipients of goods / services

Owner's investments

Loans

Income from participating in other companies

Other (specify)

Outgoing payments:

Fees for deliveries and services

Administration costs

Salary

Mandatory governmental payments (taxes and charges)

Loan repayment (granting of loans)

Investments (specify the field)

Other (specify)

Planned transactions in the Account(s)

Fill in the information on all transactions together, then separately for cash transactions (withdrawals at the ATMs) in the section "Cash transactions"

Incoming transactions, EUR

Maximum amount of one incoming transaction

up to 5000 5001-30000 30001-50000
more than 50001 (specify)

Maximum incoming turnover on accounts per month

up to 5000 5001-30000 30001-50000
more than 50001 (specify)

Maximum incoming turnover on accounts per year

up to 60000 60001-360000 360001-600000
more than 600001 (specify)

Number of planned incoming transactions per month

Outgoing transactions, EUR

Maximum amount of one outgoing transaction	up to 5000	5001-30000	30001-50000
	more than 50001 (specify)	<input type="text"/>	
Maximum outgoing turnover on accounts per month	up to 5000	5001-30000	30001-50000
	more than 50001 (specify)	<input type="text"/>	
Maximum outgoing turnover on accounts per year	up to 60000	60001-360000	360001-600000
	more than 600001 (specify)	<input type="text"/>	
Number of planned outgoing transactions per month	<input type="text"/>		

Cash transactions

Are there any transactions with cash at ATMs planned?

No	Yes ("Withdrawing, EUR")	not planned	up to 500	501-1000	1001-5000
		more than 5001 (specify)	<input type="text"/>		
		not planned	up to 500	501-1000	1001-5000
		more than 5001 (specify)	<input type="text"/>		

Withdrawal, EUR

Maximum amount of one withdrawal	not planned	up to 500	501-1000	1001-5000
	more than 5001 (specify)	<input type="text"/>		
Maximum total amount of money withdrawals per month	not planned	up to 500	501-1000	1001-5000
	more than 5001 (specify)	<input type="text"/>		
Maximum number of money withdrawals per month	<input type="text"/>			
Need to use cash (please explain)	<input type="text"/>			

One-time transactions

Are one-time transactions planned that will exceed the maximum amount of one transaction specified by you?

Yes No

Maximum amount

Currency

Planned term 1 month 3 months

Within six months In a year

From or to which credit institution or financial institution

Purpose of the transaction

Other accounts

Do you have an account(s) with another credit institution(s) or financial institution(s)? Yes No

Name	<input type="text"/>	Country	<input type="text"/>
Name	<input type="text"/>	Country	<input type="text"/>
Name	<input type="text"/>	Country	<input type="text"/>
Name	<input type="text"/>	Country	<input type="text"/>
Name	<input type="text"/>	Country	<input type="text"/>

Financial reports

Does the company have to submit financial reports to the public authorities in its country of registration? Yes No

Indicate the institution to which the financial report was submitted

What reporting period is reflected in the last submitted financial report

The financial report is publicly available (specify):

Customer confirmations

I Certify that the information in this document is complete and true.


— I undertake to immediately inform the Bank in writing on any changes in the above information. I am informed that in accordance with General terms of service, this Application is an integral part of the Agreement and the signing of this Application means the signing of the Agreement, except the Bank Services, which can be received only by signing of Special agreement.

— I am informed that in accordance with the normative acts of the Republic of Latvia, the Bank is obligated to acquire information on the Client's tax residence and other related information to it, and that in cases prescribed in the normative acts of the Republic of Latvia the Bank is obligated to provide information to the State revenue service and that the specified information is intended for the purpose of client research (including in-depth research) specified in the Law on the Prevention of Money Laundering and Terrorism Financing.

— I am informed that the Bank processes (collects, stores, records, submits, transfers, transmits, etc.) the Client's data and other persons' data submitted by the Client, as well as in accordance with Applicable legal acts requests and receives the above-mentioned data and other information from the third parties and processes it. Bank has the right to transfer the Client's personal data to the third parties, if the Bank considers it necessary for the provision of services or debt recovery from the Client. The Client confirms that, he / she has right to transfer data of other natural persons to the Bank, for that he / she has received all necessary consents.

— I confirm that I have read the Bank's General Terms of Service, the Bank's Price List and Personal Data Processing Policy, which are available on the www.magnetiqbank.com website and at the Bank's customer service points, I understand them and am aware that they will apply to the legal relationship between me and the Bank.

— I undertake not to use accounts held with the Bank and services provided by the Bank in transactions which would violate or circumvent international or national sanctions as defined by the applicable legislation or decrees of the Republic of Latvia, the European Union, OFAC or the U.N. Security Council which indicate persons subject to sanctions/restrictions, territories subject to sanctions/restrictions, or restrictions on the movement of specific goods.

Client's representative	
	(position)	(name,surname)	(signature)	
Client's representative	Seal
	(position)	(name,surname)	(signature)	

To be completed by a representative of the Bank, who identifies the Client.

The document has been signed in my presence. I confirm the identity and signature / -s of the Client / Client's representative / -s.

.....
(The Bank representative position, name, surname) (signature) (date)

Bank notes

Legal department

.....
(remarks) (The Bank representative position, name, surname) (signature) (date)